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## Seven years of aerosol scattering hygroscopic growth measurements from SGP: factors influencing water uptake

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### Key Points:

- Uncertainty in the calculated, RH-dependent, aerosol scattering coefficient increased with ambient relative humidity and growth rate, and decreasing scattering coefficient for the two algorithms studied.
- The aerosol hygroscopic growth at SGP exhibited a seasonal dependence, driven mostly by change in the aerosol chemical composition.
- Correlations with the aerosol optical properties show that size-related, aerosol organic composition regulates the aerosol uptake of water.

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25 **Abstract**

26 Long-term measurements of changes in the aerosol scattering coefficient hygroscopic  
27 growth at the U.S. Department of Energy Southern Great Plains site provide information on  
28 the seasonal as well as size and chemical dependence of aerosol water uptake. Annual  
29 average sub 10  $\mu\text{m}$   $fRH$  values (the ratio of aerosol scattering at 85%/40% RH) were 1.78 and  
30 1.99 for the gamma and kappa fit algorithms, respectively. The study found higher growth  
31 rates in the winter and spring seasons that correlated with a high aerosol nitrate mass  
32 fraction.  $fRH$  exhibited strong, but differing, correlations with the scattering Ångström  
33 exponent and backscatter fraction, two optical size-dependent parameters. The aerosol  
34 organic mass fraction had a strong influence on  $fRH$ . Increases in the organic mass fraction  
35 and absorption Ångström exponent coincided with a decrease in  $fRH$ . Similarly,  $fRH$   
36 declined with decreases in the aerosol single scatter albedo. Uncertainty analysis of the fit  
37 algorithms revealed high uncertainty at low scattering coefficients and increased uncertainty  
38 at high RH and fit parameters values.

39

## 40 **1 Introduction**

41 Aerosol forcing of climate is largely two fold, extinction of solar radiation or direct  
42 forcing and changes in cloud droplet formation or indirect forcing. An integral factor  
43 regulating these forcings is the aerosol water content. Globally averaged, water comprises  
44 half of the aerosol mass (*Textor et al., 2006*). Nguyen et al. (2016) calculated the water  
45 ambient mass fractions across 21 continental sites to vary from 3.7% in urban Beijing to 79%  
46 in rural Finland. In a high-RH, marine environment water can enhance the dry aerosol  
47 volume and hence optical depth by a factor of 4 (*Lewis and Schwartz, 2004*). In addition to  
48 optical depth, RH-modulated aerosol water uptake impacts the aerosol size, lifetime,  
49 asymmetry parameter and single scatter albedo. In a microphysical context, changes in  
50 relative humidity can modify the gas to aerosol partitioning of semi-volatile compounds.  
51 Water also influences aqueous oxidation reactions within the aerosol, which in turn alter the  
52 aerosol mass, optical properties and cloud droplet activation (*Gund et al., 1991*,  
53 *Lewandowski et al., 2015*).

54  
55 Model constraint of the aerosol extinction enhancement from water uptake depends on  
56 several factors. An AeroCom comparison of aerosol forcing models found a large diversity in  
57 the predicted aerosol water content. Much of this discrepancy stems from the high variability  
58 of ambient RH and aerosol composition but also from limited data on aerosol hygroscopic  
59 growth (*Kinne et al., 2006; Textor et al., 2006*). Field measurements of RH-dependent  
60 aerosol optical depth exemplify this variability and highlight the difficulty in modeling  
61 aerosol hygroscopic growth. Aircraft measurements of aerosol properties over a polluted,  
62 urban region during DISCOVER-AQ attributed 88% of the extinction variability to aerosol  
63 loading at low ambient RH and only 10% to aerosol water uptake (*Beyersdorf et al., 2016*).  
64 However, this same study revealed that when RH exceeded 60%, the aerosol hygroscopic  
65 growth accounted for 62% of the extinction spatial variability and 95% of the diurnal  
66 variability.

67  
68 Climate models rely heavily on remote-sensing measurements for data input. In many of  
69 the remote-sensing retrievals the RH fields and aerosol hygroscopic growth are tightly  
70 coupled. Aerosol size-dependent, data products from aerosol optical depth (AOD)  
71 measurements, such as the Ångström exponent, aerosol index and aerosol fine mode fraction,  
72 all depend on the aerosol water content. In their assessment of aerosol hygroscopic growth  
73 between remote sensing and *in situ* aircraft measurements Ziemba et al. (2013) found good  
74 agreement between vertically-resolved ambient extinction from the High-Spectral Resolution  
75 Lidar (HSRL) and a single-parameter, empirical estimate of the hydrated nephelometer  
76 aerosol scattering coefficient. While ground-based, *in situ*, humidified nephelometer  
77 measurements lack vertical resolution; in a well-mixed atmosphere they can validate the  
78 column-integrated, aerosol remote sensing retrievals. More importantly, surface  
79 measurements of nephelometer scattering as a function of RH can validate model predictions  
80 and remote sensing measurements associated with seasonal changes in and cross-correlations  
81 between the aerosol optical properties.

82  
83 As the resolution of remote sensing measurements increases, more sophisticated and  
84 detailed probing of small-scale, atmospheric processes become possible. High RH  
85 environments, particularly in cloud outflow, have become useful to studying cloud-aerosol

86 interactions. For these studies, high-resolution remote sensing lidars (*Yang et al., 2014 and*  
87 *Bar-Or et al., 2012*) and AOD from geostationary satellites (*Saide et al., 2014*) probe small  
88 regions of the cloud edge where the RH gradient is steep. Better aerosol hygroscopic growth  
89 information would improve these algorithms as well as those that predict CCN (cloud  
90 condensation nuclei) from aerosol dry extinction, AOD or aerosol index (*Shinozuka et al.,*  
91 *2015 and Jefferson, 2010*). The quality of these remote sensing retrievals depends on an  
92 ability to separate meteorological fields from aerosol optical properties.

93  
94 Radiative forcing model uncertainty could be significantly reduced and remote-sensing  
95 algorithms improved with observational constraints of the aerosol water uptake. Analysis of  
96 aerosol hygroscopic growth in relation to aerosol optical and chemical properties helps  
97 improve aerosol-typing schemes that serve as input to satellite and surface AOD algorithm  
98 retrievals. In addition, algorithm tests of aerosol properties with and without column water  
99 vapor can improve model resolution that may be obscured by the temporal and spatial  
100 variation of water fields. To this end, this study presents long-term scattering hygroscopic  
101 growth measurements that provide a regional aerosol climatology that spans seasons, source  
102 emission regions, RH and aerosol composition.

103  
104 Here, we present long-term measurements of aerosol scattering hygroscopic growth from  
105 the Southern Great Plains (SGP) site in Lamont, OK, operated by the Dept. of Energy  
106 Atmospheric Radiation Measurement (ARM) program. These are hydration measurements  
107 that scan the aerosol sample RH from low to high values, nominally 40-85%. The aerosol in  
108 this region is an aged aerosol of mostly organic composition that is weakly perturbed by  
109 urban sources (*Zhang et al., 2013, Sherman et al., 2015*). Initial hygroscopic scattering  
110 enhancement measurements at the SGP site began in 1998 and have been operated near  
111 continuously to the present date. *Sheridan et al. [2001]* presented results from the first year  
112 of operation. This paper evaluates the record from 2009 to 2015, a time when the system  
113 configuration and measurement method were consistent. The overview includes

- 114 • An evaluation of the measurement uncertainty and conditions that produce the most  
115 reliable data;
- 116 • Temporal trends and variability of  $fRH$  with other aerosol optical properties and  
117 composition;
- 118 • A discussion on the role of aerosol phase and measurement conditions.

## 119 **2 Measurements and Methods**

### 120 **2.1 Sampling system and instruments**

121 The U. S. Department of Energy, Atmospheric Radiation (ARM), Southern Great Plains  
122 (SGP) facility is located in north central Oklahoma at a latitude of  $36^{\circ} 36' N$ , a longitude of  
123  $97^{\circ} 29' W$ , and an altitude of 315 m asl. The site is located in an agricultural region with  
124 mostly wheat, corn, alfalfa and hay crops. The closest urban centers are Wichita, KS 113km  
125 north and Oklahoma City, OK 136 km south from the site.

126  
127 The aerosol instrumentation is housed in a trailer with a community sample inlet. The  
128 aerosol inlet is a 21.4 cm ID stainless steel pipe with a rain hat. Flow through the stack is ~  
129 800 lpm. Aerosol is sampled from a 244 cm long, 5.1 cm outer diameter, stainless steel tube,

130 positioned in the center of the larger stack. Flow through the inner tube is maintained at 150  
131 lpm. The flow passes through a splitter, which separates the sample flow into 5, 30 lpm  
132 flows. One of these 30 lpm flows passes through a switched impactor that alternates the  
133 aerosol size between sub 10  $\mu\text{m}$  and sub 1  $\mu\text{m}$  aerodynamic particle diameter every 30  
134 minutes. Downstream of the impactors, the sample flow splits between a Radiance particle  
135 soot absorption photometer (PSAP) and 2 TSI (model 3563) nephelometers operated in  
136 series. Insulation, heaters and PID controllers regulate the RH at the base of the main aerosol  
137 sample tube, impactor inlet and the inlet of the first nephelometer to an RH of 40% or less.  
138 *Sheridan et al.* [2001] give a detailed overview of the Aerosol Observing System (AOS)  
139 instrumentation and operation.

141 The TSI integrating nephelometers measure the aerosol total scattering (7-170°) and  
142 backscattering (90-170°) coefficients at visible wavelengths of 450, 550 and 700 nm. The  
143 values of the aerosol absorption coefficient used in calculation of the aerosol single  
144 scattering albedo are from the Radiance PSAP, which operates at nominal wavelengths of  
145 467, 530 and 660 nm radiation. The 530 nm absorption coefficient was wavelength corrected  
146 to 550 nm to coincide with the nephelometer scattering coefficient. Corrections based on  
147 light truncation in the nephelometer and aerosol scatter from the PSAP filter were performed  
148 (*Anderson and Ogren, 1998, Bond et al., 1999 and Ogren, 2010*). Discussion of uncertainty  
149 in the nephelometer scattering coefficients and in the PSAP absorption coefficient can be  
150 found in *Anderson et al.*, [1999] and *Heintzenberg et al.*, [2006], *Sheridan et al.*, [2005],  
151 *Virkkula et al.*, [2005] and most recently in *Sherman et al.*, [2015].

153 The Aerodyne Aerosol Chemical Speciation Mass spectrometer (ACSM) measures the  
154 non-refractory, sub-micron aerosol mass concentration. The measured ion mass components  
155 are  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{Cl}^-$ , and total organics. Data were screened by the aerosol mass  
156 scattering efficiency to eliminate times with low ion detection efficiency. *Parworth et al.*  
157 [2015] discuss the ACSM operation at SGP in further detail.

## 158 2.2 Humidified nephelometer measurements

160  
161 The humidifier was designed for robust, continual operation with little technical support  
162 other than adding water to a reservoir. So to produce a dry, reference scattering coefficient  
163 yet also minimize evaporation of semi-volatile compounds such as ammonium nitrate and  
164 weak organic acids, the air sample is actively dried to a maximum RH of 40% at the dry  
165 nephelometer inlet. During winter months with low dew point temperatures, the RH inside  
166 the dry nephelometer will drop as low as 5 %, adding some ambiguity to the hygroscopic  
167 growth curves as weak acids volatilize and inorganic salts potentially change phase from  
168 liquid to solid.

169  
170 The humidifier rests between the two nephelometers and consists of two concentric tubes  
171 with a PID controlled heater around the outer tube. Distilled water from a reservoir circulates  
172 between the outer stainless steel tube and an inner porous PTFE (polytetrafluoroethylene)  
173 tube. The RH of the sample air, flowing down the center PTFE tube, is ramped in hourly  
174 cycles with a maximum RH at the half hour. The control RH sensor (Vaisala model HMP60)  
175 is located at the exit of the humidified nephelometer. The humidifier scans the hydration  
176 branch of the aerosol scattering coefficient. Nominal RH values at the exit of humidified

177 nephelometer cycle from 40 to 85% RH and vary with the ambient dew point. The relative  
 178 humidity inside the nephelometer is calculated from the instrument dew point measured with  
 179 the Vaisala RH/T sensor at the wet nephelometer exit and the internal wet nephelometer  
 180 temperature. The highest relative humidity of the sample air is at the wet nephelometer exit.  
 181 The system Vaisala RH/T sensors are calibrated annually on site using a Thunder Scientific  
 182 Model 2500 humidity generator, calibrated to NIST standards.

183  
 184 A least-square Levenburg-Marquardt algorithm fits the data to equation 1 (Section 2.3),  
 185 hereafter referred to as the gamma algorithm, for the 26 minute scan of each aerosol size.  
 186 The parameterization shown in equation 4 (Section 2.3, kappa algorithm) is fit to the data  
 187 using a bivariate, linear fit routine with error in both coordinates. The fit criteria limit the  
 188 scans to minimum scattering coefficients of  $10 \text{ Mm}^{-1}$ , 14 or more data points and a minimum  
 189 RH between 40-60% for the RH scans in the humidified nephelometer.

190

### 191 2.3 Aerosol scattering hygroscopic growth algorithms

192

193 Past measurements of the RH dependence of the aerosol scattering coefficient date back  
 194 to *Pueschel et al.* [1969] and have been done for multiple regions using varying techniques  
 195 as well as equations to parameterize the growth behavior (*Covert et al., 1972, Kotchenruther*  
 196 *et al., 1998, Gasso et al., 2000, Quinn et al., 2005, Carrico et al., 2007, Fierz-Schmidhauser*  
 197 *et al., 2010, Zieger et al., 2013, and Titos et al., 2014*). Aerosol which are metastable or are  
 198 on the upper branch of the hygroscopic growth hysteresis curve for an inorganic salt will  
 199 typically follow a simple power law fit as described by *Kasten* in 1969.

200

$$201 \quad \sigma_w(\text{RH}_w) / \sigma_o(\text{RH}_o) = a(1-\text{RH}_w/100)^\gamma \quad (1)$$

202

203 Here,  $\gamma$  and “a” are the fit parameters and  $\sigma_o(\text{RH}_o)$  is the aerosol scattering coefficient held  
 204 at a reference humidity and  $\sigma_w(\text{RH}_w)$  is the scattering coefficient at a specified higher or  
 205 “wet” RH. The parameter “a” normalizes the scattering growth, typically to an RH of 40%  
 206 and  $\gamma$  indicates the magnitude of the hygroscopic increase in the scattering coefficient. A  
 207 common term to compare this growth across studies, geographic regions as well as fit  
 208 equations is  $fRH$  or the ratio of wet/dry scattering with a reference RH of 40% and a wet RH  
 209 of 85%. For an ambient aerosol,  $fRH$  varies from 1.0 for hydrophobic soot aerosol to as high  
 210 as  $\sim 4$  for sea salt aerosol (*Randles et al., 2004*).

211

212 *Brock et al.* [2016] proposed another method to calculate the extinction hygroscopic growth  
 213 that is built on a modified Köhler equation first proposed by *Petters and Kriedenweiss* (2007)  
 214 for the ambient aerosol, diameter hygroscopic growth.

215

216

$$217 \quad gf(D) = \left(1 + \kappa_d \frac{\text{RH}}{100-\text{RH}}\right)^{1/3} \quad (2)$$

218

219 Here  $gf(D)$  is the hygroscopic, diameter growth. The  $\kappa_d$  fit parameter is tied to the average  
 220 water activity of the combined, aerosol components. The aerosol scattering hygroscopic

221 growth is derived from the cube of equation 2 or volume growth factor and the Mie  
 222 scattering equation below.

$$223 \quad 224 \quad \sigma = \int \frac{\pi}{4} D^2 Q(n, D) N(D) dD \quad (3)$$

225  
 226  
 227 Q is the scattering efficiency; n is the refractive index and N the number concentration. For  
 228 particle sizes smaller than the wavelength of visible light used in these measurements (550  
 229 nm), changes in Q can be approximated as linear with D such that  $\sigma \propto D^3$ . Based on the  
 230 Mie equation above the aerosol scattering hygroscopic growth can be expressed in terms of a  
 231 volume growth.

$$232 \quad 233 \quad \frac{\sigma_w}{\sigma_d} = \left(1 + \kappa_{sca} \frac{RH}{100 - RH}\right) \quad (4)$$

234  
 235 The  $\kappa_{sca}$  of equation 4 is proportional to  $\kappa_d$  of equation 2 but not equivalent. *Kuang et al.*  
 236 [2017] estimate  $\kappa_{sca} : \kappa_d$  from a site in the North China Plains to range between 0.55-0.81,  
 237 based on  $\kappa_d$  derived from measured aerosol size distributions and *fRH* measurements. The  
 238 size-integrated  $\kappa_d$  and nephelometer  $\kappa_{sca}$  values from this study exhibited a tight linear  
 239 correlation with an  $r^2 = 0.97$ . In addition, the ratio of  $\kappa_{sca} : \kappa_d$  was found to vary with the  
 240 particle size distribution with smaller particles having higher ratios. *Brock et al.* [2016]  
 241 measured a similar ratio of 0.6-1.0 from their measurements in the Southeastern US. This  
 242 equation may not hold for super micron aerosol and needs evaluation in this size range.  
 243  
 244

245 The correlation between the two algorithms varies with RH and scattering values such  
 246 that one fit equation may perform better over differing RH range, scattering coefficient,  
 247 aerosol type, modal size distribution or growth rate. The algorithm performance depends on  
 248 how well the aerosol growth pattern within a given RH range conforms to the fit as well as  
 249 the total fit uncertainty with respect to the combined RH and aerosol scattering uncertainties.  
 250

### 251 3. Calculation of uncertainty

252  
 253 An informed use of the *fRH* fits requires knowledge of the fit uncertainty over a range of  
 254 conditions such as loading, RH and growth rate. The most common application of the  
 255 scattering hygroscopic fit parameter in models and in instrument comparisons is the  
 256 calculation of aerosol extinction or scattering at an ambient RH from the dry measurement.  
 257 With this in mind, the uncertainty in the scattering hygroscopic growth is expressed in terms  
 258 of the calculated scattering coefficient at a given RH or wet scattering coefficient.

$$259 \quad \sigma_w(RH_w) = \sigma_d(RH_d) \left[ \frac{(1 - \frac{RH_w}{100})^{-\gamma}}{(1 - \frac{RH_d}{100})^{-\gamma}} \right] \quad (5)$$

$$260 \quad 261 \quad \sigma_w(RH_w) = \sigma_d(RH_d) [b + \kappa_{sca} (\frac{RH_w}{100 - RH_w})] \quad (6)$$

262

263  $RH_d$  and  $RH_w$  are the relative humidity values from the dry, reference nephelometer and  
 264 ambient (wet) conditions, respectively.

265

266 Application of the fit parameters to determine an ambient scattering coefficient requires  
 267 normalization to a reference scattering coefficient at a given  $RH_d$ . Both the kappa and  
 268 gamma algorithms assume a continuously increasing scattering coefficient with increasing  
 269 RH. The RH at which the aerosol scattering coefficient displays a measureable increase will  
 270 vary with the aerosol composition and phase. We define  $RH_o$  as the maximum RH below  
 271 which no measureable scattering growth with RH is observed.  $RH_o$  is set to 40% in the  
 272 uncertainty calculations. We replaced the kappa fit offset value of 1 with a second fit  
 273 parameter  $b$  for the kappa equation. Tying the fit to a value of 1 at  $RH_w=0$  implies a  
 274 continuous growth in the scattering coefficient with RH throughout the RH range.  
 275 Observations show that ambient aerosols retain some water down to low RH (*Engelhart et*  
 276 *al., 2011*). Some aerosol types display an increase in scattering below 40% RH, particularly  
 277 if the aerosol is highly hygroscopic. Here, we use an RH of 40% as a reference RH. The  
 278 reference RH can be adjusted to aerosol-specific scattering growth behavior. Note that at  
 279  $RH_o$ ,  $b = 1 - \kappa_{sca} (RH_o / (100 - RH_o))$  and  $a = (1 - RH_o / 100)^Y$ .

280

281 The uncertainty is determined by summing the errors of the individual sources in  
 282 quadrature. We set  $RH_o$  to 40% for the uncertainty calculations. The uncertainty associated  
 283 with equation 5 is given below.

284

$$285 \frac{\delta\sigma_w}{\sigma_w} = \sqrt{\left(\frac{\partial\sigma_w}{\partial\sigma_d} \delta\sigma_d\right)^2 + \left(\frac{\partial\sigma_w}{\partial RH_w} \delta RH_w\right)^2 + \left(\frac{\partial\sigma_w}{\partial\gamma} \delta\gamma\right)^2} \quad (7)$$

286

287 The uncertainty of equation 6 involves substituting  $\kappa$  for  $\gamma$  in equation 7 and adding a 4<sup>th</sup>  
 288 term for uncertainty associated with the  $b$  parameter. Uncertainty in  $b$  is taken as the standard  
 289 deviation of this uncertainty in fits of the data. The average standard deviation in  $b$  for the  
 290 kappa fits is +/- 0.035 for fits with an  $r^2$  correlation coefficient greater than 0.3.

291

292 The uncertainty in the relative humidity measurement was taken as the reported  
 293 uncertainty from Vaisala of 3%. The uncertainty in the nephelometer wet and dry scattering  
 294 coefficients stems from five sources; noise, instrument drift, angular scattering truncation,  
 295 calibration and STP corrections. These uncertainties for 1-minute signal integration as a  
 296 function of the scattering coefficient are reported in *Anderson et al., [1999]*.

297

298 The standard deviations of the fit parameters  $\gamma$  and  $\kappa$  were calculated numerically from a  
 299 Monte Carlo (MC) simulation of equations 1 and 4. The kappa fit  $b$  parameter was set equal  
 300 to 1 for the MC simulation in order to evaluate the role of scattering and RH on  $\kappa$  uncertainty  
 301 and for comparison of this uncertainty with  $\gamma$ . In the MC simulation  $\sigma_d$  was varied for 1, 10  
 302 and 100  $Mm^{-1}$ . The MC method uses random sampling to simulate the probability  
 303 distribution of data about a mean value. As a first approximation, the only factors  
 304 contributing to the uncertainty inputs in the simulation are the nephelometer noise and RH.  
 305 We ran 1000 fit simulations for each set of input parameters using a random sequence of  
 306 numbers generated over the nephelometer range of noise for a given dry scattering  
 307 coefficient and a 3% uncertainty in RH.



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The results of the MC simulation are given in Table 1. The  $\gamma$  fit values of 0.2, 0.5 and 0.8 were used in the MC simulation, which correspond to  $fRH$  (85%/40%) values of 1.3, 2.0 and 3.0, respectively.  $\kappa$  fit values were set to 0.05, 0.2 and 0.4, which correspond to  $fRH$  (85%/40%) values of 1.2, 2.0 and 3.0, respectively. The MC simulation used an RH range between 40%-85%. Using a lower or higher RH range didn't significantly change the calculated uncertainty of the fit parameters. The most notable result of the simulation is the high standard deviation (std.dev.) at low scattering coefficients. Table 1 lists the standard deviation of the calculated fit parameter in the MC simulation with fit value and scattering coefficient. Both  $\gamma$  and  $\kappa$  uncertainty values decrease with increased aerosol scattering. Unlike  $\gamma$ , which is relatively constant with the fit parameter value, the  $\kappa$  uncertainty increases with  $\kappa$ . The standard deviation in  $\gamma$  has a strong dependence on the aerosol scattering coefficient, highlighting the difficulty in fitting a power law function to noisy data.

Table 2 shows the calculated wet scattering values normalized to a reference scattering RH of 40% and the associated uncertainties calculated from equation 3. The reported values are segregated by  $\sigma_d$  (1, 10 and 100), %RH (45,60 and 85),  $\gamma$  (0.2, 0.5 and 0.8) and  $\kappa$  (0.05, 0.2 and 0.4). In general, the calculated uncertainties increase with %RH,  $\kappa$  and  $\gamma$  and  $\sigma_d$ . The relative percent uncertainty decreases with increases in  $\sigma_d$ . The contribution from uncertainty in  $\sigma_d$  dominates the total uncertainty for most RH and gamma values. An exception is for  $\sigma_d=100 \text{ Mm}^{-1}$  and at 85% RH, when the error in the wet RH value contributes more to the total measurement uncertainty. The kappa uncertainty values are slightly higher than those for gamma at high fit parameter and RH values.

The high uncertainty, particularly at low scattering coefficients, highlights the difficulty in interpreting these measurements, under clean conditions such as those in polar, marine or high altitude locations. In these cases analysis of the long-term trends and variances of hygroscopic growth with other aerosol properties may be a more reliable predictor of aerosol scattering increase with RH. The uncertainties listed in Table 2 set lower and upper limits of hygroscopic growth estimates for remote-sensing retrievals and model simulations. The multiple RH values, aerosol loadings and fit parameters help place boundaries on these estimates for a variety of conditions.

Our uncertainty analysis and normalizations don't account for measurement-specific conditions. Aerosol transmission loss in the humidifier will decrease the kappa fit value linearly such that a 5 % aerosol loss will result in a 5 % measured decrease in  $\kappa$  and  $b$ . This adjustment needs to be applied uniquely to each measurement system. Linear offsets to the wet scattering coefficient from aerosol losses don't affect the  $\gamma$  fit value. RH gradients or an ill-defined RH inside the nephelometer measurement cavity will add uncertainty. The magnitude of this bias under varying measurement conditions is under investigation.

## 4. Results

### 4.1 Temporal variability and aerosol composition

354 Table 3 reports statistics on the sub 10  $\mu\text{m}$  and sub 1  $\mu\text{m}$  hygroscopic growth parameters  
355 with season. The kappa  $fRH$  values are 12-18 % higher than the gamma values. The higher  
356 kappa  $fRH$  values may reflect the higher curvature of this algorithm compare to the power  
357 law gamma fit. Average  $fRH$  sigma fit values, defined as the standard deviation of the  
358 residuals, of the two fits are both 0.05 for sub 10  $\mu\text{m}$  aerosol data at 550 nm. The mean  
359 standard deviation of the sigma goodness of fit value is 0.03 for both the gamma and kappa  
360 fits. For comparison the mean  $r^2$  values for the kappa least square fit is  $0.82 \pm 0.21$ . The  
361 goodness of fit parameters improve with increasing scattering coefficients and hence  
362 decreased nephelometer noise to a mean sigma value of 0.02 (gamma and kappa fits) and a  
363 mean  $r^2$  value of 0.98 (kappa fit) for scattering coefficients greater than  $100 \text{ Mm}^{-1}$ .

364 The ability of these two equations to characterize ambient aerosol scattering coefficients  
365 rests with the goodness of fit parameters and the RH range. The two fits diverge at high and  
366 low RH values as the kappa fit has a higher curvature than the gamma fit. Neither fit is  
367 expected to perform well at low RH values where the hygroscopic growth curves flatten. The  
368 fit quality declines at RH values  $> 90\%$  where the rate of hygroscopic growth increases  
369 rapidly as the aerosol approaches the transition region between sub and super saturated RH  
370 regimes. Noise and uncertainty in both RH and nephelometer scattering measurements limit  
371 fit quality with exceptionally steep hygroscopic growth rates.

372 As there is little difference between the seasonal trends and variances between the kappa  
373 and gamma algorithms, we only show data associated with the gamma fits in the figures. The  
374 monthly variability in both aerosol size ranges in Figure 1 and Table 3 show slightly lower  
375 values during the summer months. Sub 1  $\mu\text{m}$   $fRH$  values are  $\sim 7\%$  higher than sub 10  $\mu\text{m}$   
376 values. This small difference reflects the presence of super  $\mu\text{m}$  dust aerosol prevalent at the  
377 site. The difference in  $fRH$  between the two size cuts varies between 0.16 in October and  
378 0.05 in August. The higher offset between the two values in the fall and early winter  
379 coincides with winter wheat planting and low precipitation. Section 4.2 offers a more  
380 detailed discussion on size-dependent hygroscopic growth behavior.

381 *Sherman et al.* [2016] note that transport to the site varies seasonally with winds  
382 predominately from the south during the summer, a region that includes Oklahoma City.  
383 Figure 2 shows wind rose plots of the  $fRH$  values with season. During winter there is a higher  
384 frequency of winds from the N-NW than other seasons; the direction of Wichita, KS and a  
385 large agricultural region. Winds from the S-SE are more prevalent from spring to fall. Note  
386 that  $fRH$  values vary little with wind sector for any given season.

387

#### 388 4.2 Variance of $fRH$ with aerosol composition

389

390 The seasonal variation in  $fRH$  is reflected in changes in the hydrophilic, inorganic  
391 composition of the PM1 aerosol. On average organics, sulfate, nitrate and ammonium  
392 comprise over 98% of the non-refractive aerosol mass, with equivalent ratios of  $\text{NH}_4^+$  to  
393  $\text{SO}_4^{2-}$  plus  $\text{NO}_3^-$  near 1, indicating a mostly neutral aerosol (*Parworth et al., 2015*).  $fRH$   
394 values are highest in winter and correlate well with the nitrate ion mass concentration. The  
395 NMF (nitrate mass fraction) is highest in the cold winter and spring months when the nitrate  
396 vapor pressure is low and soil denitrification is high, particularly of unplanted fields or those  
397 fertilized in the fall (*Paul and Zebarth, 1997*). During winter, a shallow inversion layer and  
398 low wind speeds keep aerosol and other pollutants near the surface, resulting in a higher  
399 aerosol loading than other seasons (*Sherman et al., 2015*). While the  $\text{SO}_4^{2-}$  mass  
400 concentration has little seasonal variability, the SMF (sulfate mass fraction) is higher in

401 summer when the NMF is low. The summer months have the lowest  $fRH$  values and also the  
402 highest aerosol organic mass fraction (OMF) (Parworth *et al.*, 2015).

403

404 Past studies found a strong correlation between  $fRH$  and the OMF that varies with aerosol  
405 type (Quinn *et al.*, 2005 and Beyersdorf *et al.*, 2016). Figure 3 shows the correlation between  
406  $\gamma$  and the organic mass fraction (OMF) of the non-refractive aerosol mass measured with an  
407 aerosol mass spectrometer from 2012-2014 at SGP. Data is colored by the mass fraction of  
408 nitrate and sulfate ions. Three distinct modes of aerosol hygroscopic growth behavior with  
409 OMF are apparent: 1) a low rate of increase in  $\gamma$  with declining OMF when the nitrate mass  
410 fraction (NMF) is high and the OMF is low 2) a higher rate of increase in  $\gamma$  with declining  
411 OMF in when the sulfate mass fraction (SMF) is high and 3) a large range of  $\gamma$  values when  
412 the OMF is high and the NMF and SMF are both low. The linear fits for these 3 variances of  
413  $\gamma$  vs. OMF with NMF range from -0.36 at high NMF to -0.66 for when the NMF and SMF <  
414 0.2. We intentionally limit the gamma range of the plot to reduce the contribution of outliers  
415 that may represent smoke at low gamma or fresh sulfate aerosol formation at high gamma.  
416 As the NMF is highest in winter and spring and the SMF is higher in summer, the  $\gamma$  behavior  
417 with respect to the OMF varies seasonally. Similar measurements of  $\gamma$  vs OMF report slopes  
418 of - 0.3 to - 0.5 in polluted regions and -0.7 in a marine environment (Quinn *et al.*, 2005,  
419 Massoli *et al.*, 2009, and Beyersdorf *et al.*, 2016).

420

421 A remarkable feature of Figure 3 is the wide range of  $\gamma$  values for OMF > 0.7. Using  
422 Positive Matrix Factorization (PMF) Parworth *et al.* [2015] categorized the organic aerosol  
423 mass composition into more or less oxidized and biomass burning components. Variation in  
424 the relative mass fractions of these organic components between seasons likely contributed to  
425 the variability in  $\gamma$  at high OMF. Not enough data was available to compare  $\gamma$  to the level of  
426 organic aerosol oxidation. Smoke aerosol, particularly aged smoke from long-range  
427 transport, could be a factor in the large variance of gamma at high aerosol OMF. Jing *et al.*  
428 (2017) report that potassium salts prevalent in smoke aerosol make a significant contribution  
429 to organic aerosol hygroscopic growth. A known controlled burn of an adjacent field to SGP  
430 on July 17, 2015 had aerosol gamma values of 0.2 and 0.3 over the 2 one-hour episodes,  
431 corresponding to  $fRH$  values of 1.32 and 1.5, respectively. For this particular event, fresh  
432 smoke aerosol likely had a high enough inorganic composition to significantly influence the  
433 aerosol hygroscopicity.

434

435 Aerosol size also plays a role in the scattering hygroscopic growth variance with the OMF.  
436 Figure 4 highlights this correlation and shows the size-dependent, aerosol hygroscopic  
437 growth with respect to the organic mass content. Larger aerosols with lower backscatter  
438 fractions (BSF) are confined to lower OMF and higher  $\gamma$  values. The high nitrate and sulfate  
439 mass fractions of this larger, more hygroscopic aerosol may reflect an aged aerosol that has  
440 undergone secondary gas and aqueous phase oxidation processes. Smaller aerosol with  
441 higher backscatter fractions were concentrated at OMF values higher than 0.5 and exhibited a  
442 high range of  $\gamma$  values from 0.1 to about 0.6. Typically, smaller aerosols represent fresh  
443 emissions with a high organic content. Figure 4 shows a more varied small particle  
444 composition. The broad range of small particle hygroscopic growth at OMF > 0.5 may  
445 reflect variability in the organic aerosol oxidation state with more oxidized organics at higher  
446  $\gamma$  values or, as already discussed, the presence of inorganic potassium salts in smoke aerosol.

447

## 448 4.2 Systematic variability with aerosol optical properties

449

450 Figure 5 shows the  $fRH$  variance with the intensive aerosol optical properties: scattering  
451 and absorption Ångström coefficients, backscatter fraction and single scattering albedo. The  
452 solid black lines show the systematic relationships between the aerosol optical properties and  
453 aerosol hygroscopicity. Both the aerosol single scatter albedo (SSA) and absorption  
454 Ångström exponent (AAE) are measures of aerosol elemental (EC) and organic brown (OC)  
455 carbon absorption. The mass absorption efficiency of OC increases at shorter visible  
456 wavelengths, resulting in higher AAE values (Barnard et al., 2008). Because non-absorbing  
457 aerosol coatings can enhance this wavelength dependence (Lack and Cappa, 2010), AAE is  
458 only a rough proxy of the organic aerosol content. SSA represents the relative aerosol  
459 scattering to extinction such that lower values indicate a stronger absorption and thus higher  
460 OC and EC content. Sherman et al. (2015) show that the AAE declines with increasing SSA,  
461 indicating a lower contribution of OC relative to EC at high SSA. As shown in Figures 5a  
462 and 5b,  $fRH$  increases with decreasing AAE (brown carbon) and increasing SSA (lower total  
463 carbon absorption). The correlation of  $fRH$  to aerosol AAE and SSA affirms the relationship  
464 with the ACSM chemistry data in Figure 3 of a declining aerosol hygroscopic growth with  
465 increasing organic mass fraction. The probability distribution of points (dotted lines) show an  
466 AAE peak probability at  $\sim 1.45$ , indicating a moderate influence of absorbing organics. The  
467 SSA peak probability at 0.93 and relatively narrow range of values indicate the presence of a  
468 highly scattering aerosol at SGP with a moderate to low concentration of absorbing carbon.

469

470 Normally, aerosol EC absorption is constant across the visible spectrum with an AAE of  
471  $\sim 1$ . However, aerosol coatings that form an outer shell around a dark EC core can  
472 preferentially focus certain wavelengths by acting as a wave-guide, an effect known as  
473 “lensing”. Lack and Cappa (2010) predict values of AAE  $< 1$  in their model of clear coatings  
474 on an EC core for aerosol in the larger end of the accumulation mode with particles  
475 diameters  $> 150$  nm. Here, low values of AAE  $< 1$  at SGP are associated with high SSA  
476 values as well as larger accumulation mode particles with low BSF and low OMF values. As  
477 shown in Figure 5b, AAE  $< 1$  have a relatively high hygroscopicity with  $fRH$  values of  $\sim 1.9$ .  
478 The low AAE values possibly indicate an absorption enhancement of longer wavelengths due  
479 to a clear aerosol coating. However, the lensing effect is difficult to separate from filter  
480 artifacts, making the underlying reason for AAE  $< 1$  values unclear. Measurements of aerosol  
481 gas phase absorption could give further insight on the role of coatings and aerosol  
482 absorption.

483

484 The aerosol scattering Ångström exponent and backscatter fraction are two optical  
485 properties that decrease with increasing aerosol size. These two parameters often  
486 anticorrelate, particularly if the aerosol has a bimodal distribution (Schuster et al., 2006).  
487 Figures 5c and 5d show plots of the hygroscopic growth parameter increasing with the  
488 scattering Ångström exponent (SAE) and decreasing with the backscatter fraction (BSF).  
489 Here,  $fRH$  exhibits differing size-dependent behavior with SAE and BSF at SGP. These size-  
490 dependent aerosol parameters represent different regions of the aerosol accumulation mode.  
491 The BSF is sensitive to size changes of smaller diameter particles, whereas SAE for the  
492 given wavelength pair (550nm/700nm) is more representative of the upper size range of the  
493 aerosol accumulation mode and the super  $\mu\text{m}$ , coarse mode. A previous study of the

494 hygroscopic diameter growth,  $gRH$ , found the aerosol water uptake at SGP increased with  
495 aerosol size up to 0.3  $\mu\text{m}$  and then decreased for larger particles (*Gasparini et al., 2006b*).  
496 The 0.3  $\mu\text{m}$  diameter peak in  $gRH$  and decline at larger diameters reflects the changing  
497 composition and hygroscopic growth of two modes in a bimodal aerosol size distribution.  
498 This bimodal behavior shows up in the differing size-dependent, hygroscopic growth  
499 behavior of the BSF and SAE parameters.

500

#### 501 4.3 Variance of hygroscopic growth with ambient relative humidity

502

503 The ambient RH affects the aqueous phase chemistry within aerosols, the particle  
504 viscosity and also the gas to aerosol partitioning of chemical species; three factors that  
505 influence aerosol hygroscopic growth. At SGP, the median ambient relative humidity over  
506 the measurement period was 63% with a lower 25<sup>th</sup> quartile of 45% and an upper 75<sup>th</sup>  
507 quartile of 79%. Though the ambient RH has a pronounced diurnal cycle, it exhibits little  
508 seasonal variation. Figure 6 shows the dependence of  $fRH$  for sub 10  $\mu\text{m}$  aerosol at 550 nm  
509 on the ambient RH. On average,  $fRH$  for sub 10  $\mu\text{m}$  aerosol increases from about 1.4 to about  
510 1.9 as the ambient RH increased from 40 to 80%. The dotted line of the  $fRH$  distribution with  
511 RH shows that most of the measurements occur when the ambient RH is between 20 – 80%.

512

513 The aerosol chemistry responsible for RH dependence of hygroscopic growth is  
514 ambiguous. The aerosol mass fractions of inorganic species exhibit little correlation with the  
515 ambient RH, while the OMF slightly declines with an increase in ambient RH. In contrast,  
516 the mass loadings of nitrate, sulfate and ammonium increased with ambient RH in  
517 accordance with the reduced vapor pressure with increasing RH of gas phase ammonia,  
518 sulphuric and nitric acid (*Marti et al., 1997; Stelson and Seinfeld, 1982*). Because of their  
519 weak RH dependence, the relative mass fractions of the total inorganic and organic species  
520 cannot account for the  $fRH$  increase with ambient RH. Instead, the increase in  $fRH$  with  
521 ambient RH may reflect the organic component oxidation level. Better aerosol chemical data  
522 with resolved organic oxidation level would help discern the reason for the positive  
523 correlation between  $fRH$  and the ambient RH.

523

524 In addition to heterogeneous oxidation of gas phase species, in-cloud oxidation will  
525 enhance the aerosol sulfate, nitrate and oxidized organic mass fractions. Low-level cloud  
526 coverage and cloud probability increase with the ambient RH at SGP with the highest cloud  
527 probability at RH values between 75-85% (*Kennedy et al., 2010*). In-cloud oxidation may  
528 contribute to not only the increase in  $fRH$  with RH but also the prevalence of bimodal aerosol  
529 size distributions at SGP (*Gasparini et al., 2006b*).

530

#### 531 4.4 Instrument RH and aerosol phase change

532

533 The lowest instrument RH prior to hydration will affect the aerosol phase, whether it  
534 remains liquid, becomes more viscous or solid. For a mostly inorganic aerosol, the RH  
535 scattering growth behavior will move to the lower branch of the hysteresis curve if the  
536 instrument RH drops below the efflorescence RH. The lowest RH in the system prior to  
537 humidification is in the internal dry nephelometer which ranges from 5 to 60 % RH for the  
538 hydration curves that meet the fit criteria. The internal instrument RH varies with the  
539 ambient dew point. Dew point values at SGP vary from as low as -20 C in the winter to

540 values as high as 26 C in the summer. Over the summer when high dew points are prevalent,  
541 SGP aerosol consists of mostly low-volatility, highly oxidized organic species (*Parworth et*  
542 *al., 2015*). As such, the summer aerosol is likely invariant to changes in the sampling RH for  
543 an instrument RH above ~30%. However, during the cold winter months, the sampling RH  
544 can drop below the efflorescence RH of most inorganic salts. Figure 7 shows a graph of  
545 binned  $fRH$  and SMF versus the dry nephelometer RH. Note that 98% of the data that met the  
546 fit conditions were when the dry nephelometer % RH <50%. The dry nephelometer RH  
547 tracks the ambient dew point with low values in the winter and increasing RH through spring  
548 and summer. Both  $fRH$  and the SMF increase at dry nephelometer RH values greater than  
549 50%. These times are infrequent but are typical of high dew points during the summer  
550 daytime. The increase in  $fRH$  at high sampling RH possibly indicates a phase transition, but  
551 may also reflect daytime photochemical production of aerosol sulfate and oxidized organics.  
552 A lower fit quality with decreasing instrument RH is expected if the growth behavior doesn't  
553 fit the expected algorithms of a metastable aerosol. However, trend analysis did not show a  
554 correlation between the dry nephelometer RH and of the  $fRH$  sigma goodness of fit  
555 parameters.  
556

557 Looking at deliquescence with size-dependent hygroscopic growth, *Martin et al.* [2008]  
558 measured the aerosol phase activity with RH of 150 nm particles at SGP. They found  
559 deliquescence in 13% of their humidifier scans. Approximately ~30% of the 150 nm particles  
560 in these 13 scans exhibiting a phase change at ~80% RH, similar to that of  $(NH_4)_2SO_4$ . With  
561 only a fraction of the particles exhibiting deliquescent behavior, their finding denotes an  
562 externally mixed aerosol in these air samples. In another study, closure measurements of  
563 nephelometer  $fRH$  with aerosol diameter hygroscopic growth,  $gRH$ , at SGP (*Gasparini et al.,*  
564 *2006a*) indicated that the sampled nephelometer aerosol was more frequently metastable than  
565 crystalline. A step change in aerosol scattering with RH is difficult to observe over a broad  
566 size range of scattering measurements, especially if the aerosol is externally mixed with a  
567 lesser fraction being deliquescent.  
568

569 While difficult to discern with broad statistics, case-by-case analysis of phase changes are  
570 observed. Figure 8 shows an example of how aerosol phase impacts fit quality. The figure  
571 shows the aerosol hygroscopic growth profiles before, during and after a large change in  
572 wind direction and source emissions at SGP on April 10, 2011. Over the course of 3 hours  
573 the aerosol scattering coefficients decline by ~60% and the ambient RH dropped from 80 to  
574 20% (dew point drop of 16 C). Figure 8b shows the hourly humidifier RH scans and the ratio  
575 of wet:dry scattering coefficients ( $fRH$ ). The smoothly varying scan at 13:00 UTC tracks  
576 both the kappa and gamma algorithms well with little deviation of the data from the fit lines.  
577 At 14:00 the humidifier scan is uneven as the air mass changed during the hour. After the  
578 aerosol scattering coefficient stabilized, at 15:00 UTC the scattering enhancement increased  
579 at a faster rate above an RH of 70%, causing the fit lines to over predict growth behavior  
580 from 58-70% RH and under predict the wet scattering enhancement at higher %RH values as  
581 shown in Figure 8c. The abrupt increase in the hygroscopic growth rate at a high RH likely  
582 reflects a change in the aerosol phase. The deviation of the data from the  $fRH$  fit line is as  
583 much as 0.15 (40% ) at 75% RH. This error increases with %RH. However at the ambient  
584 RH of 20% of this measurement period, hygroscopic growth likely did not influence the  
585 ambient aerosol scattering coefficient.

586

587

588 Methods that compare changes in the hygroscopic growth rate over the RH range can  
589 help identify potential phase changes. Such an analysis necessitates a high scattering signal  
590 with low noise and so biases the data to times with high aerosol loading. *Zhang et al.* [2015]

591 introduce a steepness parameter that evaluates changes in the fit derivative at two RH values.

592 A more robust comparison using the gamma algorithm compares the slope of a fit line from  
593  $\sim 40\text{-}60\%$  RH to the power law fit parameter  $\gamma$ . For an ideal fit this ratio is  $\sim -2$ . A ratio  $> -2$

594 indicates a much lower slope or slower increase with RH at low RH compared to a fit of the  
595 full range of RH. A similar comparison with the kappa algorithm involves a comparison of

596  $\kappa_{sca}$  over the entire RH range to the fit slope for RH  $> 65\%$ . A significantly higher slope of  
597 the high RH fit line compared to that of the entire range of values likely signifies a change in

598 aerosol phase. Figure 9 shows an example of the two fit comparisons using the same data  
599 from Figure 8 at 15:00 UTC. The RH range of each fit was optimized to exploit the changes

600 in growth behavior with RH. In this case, the significant increase in the kappa fit slope at  
601 high RH likely stems from change in aerosol phase or viscosity. Phase change analysis using

602 ratios over different RH ranges are nuanced and depend on the chosen RH range, goodness  
603 of fit and fit algorithm. Size dependence of the aerosol scattering efficiency, size-dependent

604 transmission losses or unidentified temperature gradients can result anomalous scattering  
605 growth with RH. Further corroboration of this method with aerosol composition and size-

606 dependent hygroscopic growth would be useful. More distinct phase transition behavior is  
607 expected at sites with higher inorganic composition. Though simple, this ratio technique

608 works well in identifying broad trends in the aerosol phase behavior for a large data set.  
609 Rather than a binary view of the aerosol as being on an upper or lower branch of a phase

610 hysteresis, the method allows for a continuum of phase behavior present in externally mixed  
611 aerosol.

611

## 612 5. Discussion

613

614 Long-term measurements probe large-scale processes that span seasons and years.

615 Statistical analysis of these large data sets shows systematic relationships between variables

616 over a range of conditions that then illuminate feedbacks between the boundary layer,  
617 hydrologic, radiation and aerosol cycles. The covariances and trends conceptualize

618 atmospheric aerosol dynamics in broad terms that help us intuit this forcing. Though often  
619 qualitative, the empirical relationships place boundaries on remote sensing retrievals and

620 climate models. Although the  $fRH$  measurements presented here show distinct trends and  
621 variances, the uncertainty and possible phase transitions associated with these measurements

622 place limits around the scope of their use. Locations with low aerosol loading or low dew  
623 point need high scrutiny. The intent of the analysis presented here is to optimize  $fRH$  data use

624 in evaluation of remote sensing and model products.

625

626 Statistically, the two algorithms presented, kappa and gamma, had comparable fit

627 uncertainties over the range of the entire data set. For an individual humidifier scan one fit

628 may perform better than the other with respect to a high or low RH range or steepness of the  
629 scattering growth with RH. The gamma parameterization performs poorly at very low RH

630 where the growth rate flattens and at high RH values above 90% where the growth rate  
631 rapidly increases near the transition between sub and super saturated regimes (*Brock et al.*,

631

632 2016). In general, the two algorithms fit the hygroscopic growth behavior well within the RH  
633 range of these measurements with relatively low sigma goodness of fit values (standard  
634 deviation) over a broad range of aerosol scattering values. The uncertainty calculations are  
635 for a generic hydration scan and don't account for calibration errors or other instrument-  
636 specific error outside of normal operating conditions. Such circumstances need an individual  
637 evaluation of measurement error. Signal noise from the aerosol scattering coefficients is the  
638 largest contributor to the fit error such that scattering values less than  $10 \text{ Mm}^{-1}$  may not yield  
639 reasonable values of gamma or kappa. Reduction of scattering coefficient noise can be  
640 achieved by performing hour-long scans with a 2-minute average of the data. This comes  
641 with a reduction in temporal resolution and increased risk of the air mass and aerosol  
642 properties changing over the measurement period. For sites with low aerosol loading and  
643 little air mass variability the longer scan time will reduce the fit error.  
644

645 Alternatively, the fit parameters can be approximated from known cross correlations with  
646 aerosol optical and/or chemical properties. As long-term aerosol scattering hygroscopic  
647 growth measurements are sparse, these cross correlations of the fit parameters with more  
648 common in-situ measurements of aerosol optical properties will enhance the global coverage  
649 of aerosol *fRH*. Large aerosol observation networks such as the NOAA federated network  
650 ([www.esrl.noaa.gov/gmd/aero](http://www.esrl.noaa.gov/gmd/aero)), ACTRIS ([www.actris.net](http://www.actris.net)) and DOE ARM ([www.arm.gov](http://www.arm.gov))  
651 provide long-term measurements of aerosol optical properties for such analysis.  
652

653 Aerosol *fRH* at SGP has a strong seasonal variance, driven mostly by changes in the  
654 aerosol chemistry. Higher winter values are attributed to a high NMF that results from a low  
655 nitric acid vapor pressure at colder temperatures. The lower summer time *fRH* values  
656 accompany a higher OMF. Despite changes in the predominant transport sector with season,  
657 *fRH* exhibited little variation with wind sector for a given season. This suggests that local  
658 aerosol emissions and/or similar, sector-independent processes such as photochemical  
659 oxidation, cloud processing and temperature-dependent vapor pressures, regulate the aerosol  
660 hygroscopic growth behavior for a given season. The average *fRH* values reported here are  
661 comparable to median values reported by Sheridan et al. (2001) from SGP of 1.83 (sub 10  
662  $\mu\text{m}$ ) and 1.86 (sub  $\mu\text{m}$ ). The lower sub 10  $\mu\text{m}$  *fRH* values may indicate an influence from  
663 dust. For comparison, *fRH* measurements from other rural regions in the Western U.S. report  
664 values that range from as low as 1.26 in California (Malm et al., 2005) to as high as 2.06 in  
665 Texas (Malm et al., 2003).  
666

667 Strong correlations between the aerosol hygroscopic growth, chemistry and optical  
668 properties indicate these properties are closely coupled. Changes in *fRH* associated with  
669 optical and chemical properties suggest that larger, less absorbing, more oxidized particles  
670 with a lower OMF have a higher hygroscopic growth. This behavior isn't necessarily  
671 repeated for larger particles that may include coarse mode dust, a higher organic fraction or  
672 aerosols large enough for their scattering efficiency to decline at 550 nm with increased  
673 growth. The aerosol *fRH* showed opposing behavior with BSF and SAE, with increased  
674 water uptake with size for smaller accumulation mode aerosol (BSF) and decreased water  
675 uptake with size for larger accumulation and coarse mode particles (SAE). These correlations  
676 with aerosol optical and chemical properties can be used to constrain the hygroscopic fit  
677 parameter when *fRH* measurements are not present, the fit quality is low or the aerosol



678 scattering values are too low to give a reliable fit parameter. The correlations are specific to  
679 SGP but may be extended to regions with similar aerosol type and climate.

680

681 Aerosol phase spans the range of a liquid solution to a viscous, amorphous liquid to a  
682 mixed phase aerosol with solid inclusions to a solid. These phases can vary with aerosol size  
683 and between internally and externally mixed particles. Trends in the hygroscopic growth fit  
684 parameter with large differences between the dry sample and ambient RH were ambiguous  
685 and neither support nor discount sampling-induced changes in aerosol phase. Phase shift  
686 behavior, as observed from scattering hygroscopic growth measurements, is subtle for an  
687 aged, mostly organic aerosol. Distinct discontinuity in the humidification scans won't be  
688 observed unless a large enough fraction of the optically active aerosol deliquesces. We  
689 present 2 methods which ratio the scattering growth behavior over differing RH ranges to  
690 infer a phase change. The methods are qualitative and limit analysis to data with low noise.  
691 Unlike detailed closure measurements, this ratio method is an effective tool to evaluate long-  
692 term changes in aerosol phase under varying meteorological conditions for different aerosol  
693 types. The analysis is meant to point out potential feedbacks or perhaps measurement  
694 problems. A significant deviation in these ratios may point to a change in aerosol chemistry,  
695 transport, meteorology or measurement. As was the case for the data in Figures 8 and 9, a  
696 change in aerosol phase accommodated an abrupt change in air mass, signified by a large  
697 change in dew point and wind direction. Similar feedbacks in aerosol phase behavior with  
698 changes in aerosol chemistry and size, entrainment or precipitation events would enhance  
699 understanding of the aerosol lifecycle.

700

701

702

703 Much more can be accomplished with this data set and similar data sets of the RH-  
704 dependent aerosol scattering behavior in the DOE ARM archive. Extensions of this study are  
705 to repeat the analysis for other sites and aerosols types such as marine, smoke, pollution, and  
706 forested regions and a comparison of in-situ surface measurements of aerosol extinction  $f_{RH}$   
707 with RH-dependent retrievals from remote sensing measurements. Decoupling the aerosol  
708 optical properties from the ambient RH can improve the remote sensing retrievals as well as  
709 radiative forcing model parameterizations.

710

711

#### 712 *Acknowledgements*

713 The authors acknowledge support from the U.S. Department of Energy Atmospheric  
714 Radiation Measurement Program via Argonne National Laboratory. The authors thank the  
715 DOE SGP ARM Climate Research Facility staff and scientists who helped maintain the  
716 instruments and ingest the data used for this paper, particularly Patrick Dowell, Ken Teske,  
717 Matt Gibson, Annette Koontz and Connor Flynn. In-situ aerosol optical measurement data is  
718 available from the DOE ARM archive at <https://www.arm.gov/data>.

719

720

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962 Table 1. Monte Carlo simulated uncertainties in  $\text{Mm}^{-1}$  for  
963 gamma and kappa algorithm fit parameters for dry  
964 scattering coefficients  
965

Fit Parameter	Scattering Coefficient $\text{Mm}^{-1}$		
	1	10	100
kappa 0.05	0.04	0.00	0.00
kappa 0.2	0.05	0.01	0.01
kappa 0.4	0.09	0.02	0.02
gamma 0.2	0.32	0.03	0.01
gamma 0.5	0.32	0.03	0.01
gamma 0.8	0.30	0.03	0.01

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Table 2. Wet scattering coefficients, and standard and percent errors in the wet scattering coefficient as a function of RH and normalized to a dry scattering coefficient ( $\sigma_d$ , RH=40%) for gamma and kappa fit algorithms.

$\sigma_d = 1$	Wet scattering $Mm^{-1}$			Absolute error $Mm^{-1}$			Percent error		
	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH
Gamma									
0.2	1.0	1.1	1.3	1.4	1.4	1.8	133.0	133.6	140.3
0.5	1.0	1.2	2.0	1.4	1.6	2.8	133.1	133.7	140.6
0.8	1.1	1.4	3.0	1.4	1.9	4.3	133.1	133.8	141.1
$\sigma_d = 10$									
Gamma	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH
0.2	10.2	10.8	13.2	2.0	2.1	2.6	19.2	19.3	20.1
0.5	10.4	12.2	20.0	2.0	2.4	4.4	19.4	19.6	22.0
0.8	10.7	13.8	30.3	2.1	2.8	7.7	19.7	20.2	25.3
$\sigma_d = 100$									
Gamma	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH
0.2	101.8	108.4	132.0	9.8	10.5	13.8	9.6	9.7	10.5
0.5	104.4	122.5	200.0	10.4	12.6	27.8	10.0	10.3	13.9
0.8	107.2	138.3	303.1	11.3	15.6	56.7	10.5	11.3	18.7
$\sigma_d = 1$									
Kappa	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH
0.05	1.0	1.0	1.2	1.4	1.4	1.7	137.4	137.3	136.6
0.2	1.0	1.2	2.0	1.6	1.7	2.8	150.2	148.2	142.5
0.4	1.1	1.3	3.0	1.8	2.1	4.4	166.5	157.7	145.9
$\sigma_d = 10$									
Kappa	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH
0.05	10.1	10.4	12.5	2.0	2.1	2.6	19.9	19.9	20.9
0.2	10.3	11.7	20.0	2.2	2.5	4.9	21.8	21.7	24.6
0.4	10.6	13.3	30.0	2.6	3.2	8.2	24.3	23.7	27.5
$\sigma_d = 100$									
Kappa	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH	45% RH	60% RH	85% RH
0.05	100.8	104.2	125.0	10.0	10.3	14.0	9.9	9.9	11.2
0.2	103.0	116.7	200.0	11.3	13.0	33.6	11.0	11.1	16.8
0.4	106.1	133.3	300.0	13.3	17.1	61.9	12.6	12.8	20.6

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974 | Table 3. Mean (standard deviation) aerosol hygroscopic growth parameters,  $fRH$ , and gamma ( $\gamma$ )  
 975 | and kappa ( $\kappa$ ) fit parameters for sub1\_  $\mu m$  and sub\_10  $\mu m$  aerosol size cuts with season.  
 976

Parameter	Spring (MAM)	Summer (JJA)	Fall (SON)	Winter (DJF)	Annual
$fRH$ ( $\gamma$ ) sub 1 $\mu m$	1.91 (0.46)	1.74 (0.30)	1.85 (0.42)	1.96 (0.41)	1.87 (0.41)
$fRH$ ( $\gamma$ ) sub 10_ $\mu m$	1.80 (0.39)	1.65 (0.27)	1.72 (0.38)	1.82 (0.37)	1.78 (0.36)
$\gamma$ sub 1 $\mu m$	0.45 (0.16)	0.39 (0.12)	0.42 (0.16)	0.47 (0.16)	0.44 (0.16)
$\gamma$ sub 10 $\mu m$	0.41 (0.15)	0.35 (0.12)	0.37 (0.16)	0.42 (0.15)	0.40 (0.15)
$fRH$ ( $\kappa$ ) sub 1 $\mu m$	2.15 (0.45)	2.01(0.33)	2.10 (0.46)	2.32 (0.46)	2.14 (0.44)
$fRH$ ( $\kappa$ ) sub 10 $\mu m$	2.02 (0.44)	1.86 (0.32)	1.92 (0.44)	2.16 (0.47)	1.99 (0.44)
$\kappa$ sub 1 $\mu m$	0.24 (0.10)	0.20 (0.07)	0.21 (0.10)	0.26 (0.09)	0.23 (0.10)
$\kappa$ sub 10 $\mu m$	0.21 (0.09)	0.17(0.06)	0.18 (0.09)	0.24 (0.09)	0.20 (0.09)

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 978  
 979 Figure 1. Box and whisker plot showing the 5, 25, 50, 75 and 95<sup>th</sup> percentiles of the sub  $\mu m$  and  
 980 sub 10  $\mu m$  aerosol  $fRH$  using the gamma fit at SGP from 2009 to 2015.

981  
 982 Figure 2. Seasonal wind rose plots depicting seasonal  $fRH$  values with wind direction.

983  
 984 Figure 3. Variation of the gamma fit parameter with aerosol organic mass fraction and colored by  
 985 nitrate and sulfate mass fraction amounts. Red and blue fit lines correspond to data with similar  
 986 color. The green fit line is for the entire data set.

987  
 988 Figure 4. Sub 1  $\mu m$  aerosol backscattering fraction at 550 nm vs organic mass fraction from  
 989 ACSM.

990  
 991 Figure 5. Plots of binned  $fRH$  versus dry, intensive, aerosol optical properties (solid line) and the  
 992 probability distributions of the intensive properties (gray line). Intensive properties are (a) single  
 993 scatter albedo at 550 nm, (b) absorption Ångstrom exponent for the 467:530 nm wavelength  
 994 pairs, (c) scattering Ångstrom exponent for the 450:700 nm wavelength pairs, and (d) the  
 995 backscatter fraction at 550 nm.

996  
 997 Figure 6.  $fRH(85\%/40\%)$  for sub 10  $\mu m$  data binned by the ambient RH  
 998 (solid line) and the binned probability distribution of the ambient RH  
 999 (dashed line).

1000  
 1001 Figure 7.  $fRH$  (solid line) and the SMF (gray line) binned by the dry nephelometer RH.

1002  
 1003 Figure 8. Plots of aerosol scattering data from April 10, 2011 at SGP showing: a) The sub 10  $\mu m$   
 1004 (dark green) and sub  $\mu m$  (light green) scattering coefficients at 550 nm., b) ratio of the wet/dry  
 1005 scattering coefficients (black) and humidifier % RH (red), and c) plots of the  $fRH$  data fit to the  
 1006 gamma and kappa fit algorithms at 13:00 (black triangles) and at 15:00 (gray circles).  
 1007

1008 Figure 9. Plots of Gamma (left) and Kappa (right) hygroscopic growth fits. Red lines are linear  
1009 fits of the data over a limited RH range. Data from sub *um* aerosol scattering coefficients at  
1010 550nm on April 10, 2011 at SGP. Fit equation boxes are colored the same as the corresponding  
1011 fit line.  
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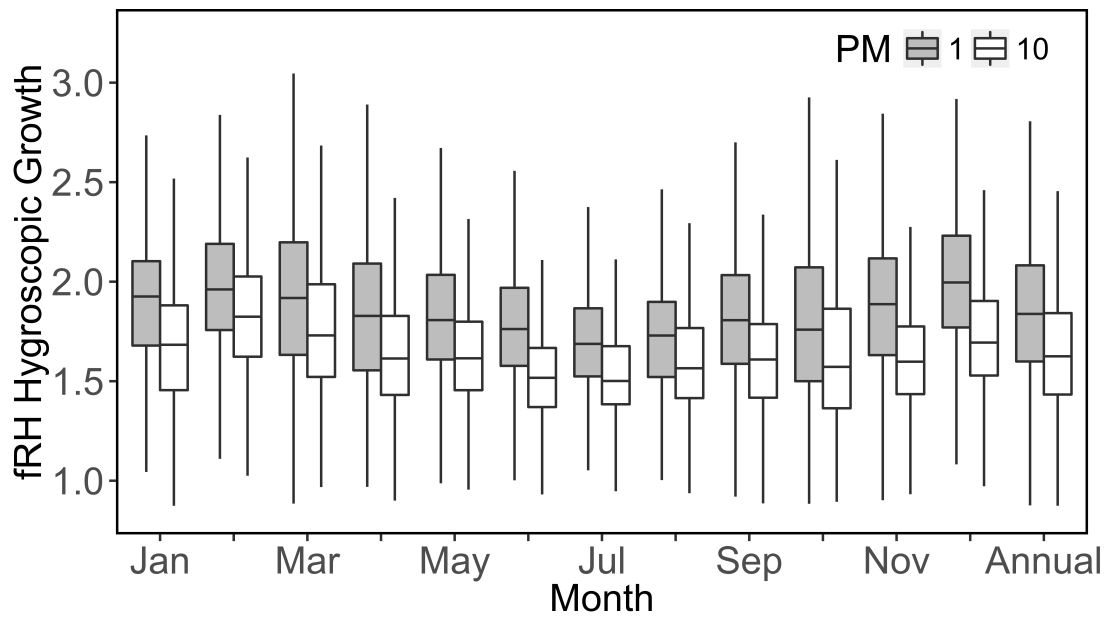


Figure 1. Box and whisker plot showing the 5, 25, 50, 75 and 95<sup>th</sup> percentiles of the sub  $10\ \mu\text{m}$  and sub  $100\ \mu\text{m}$  aerosol  $fRH$  using the gamma fit at SGP from 2009 to 2015.

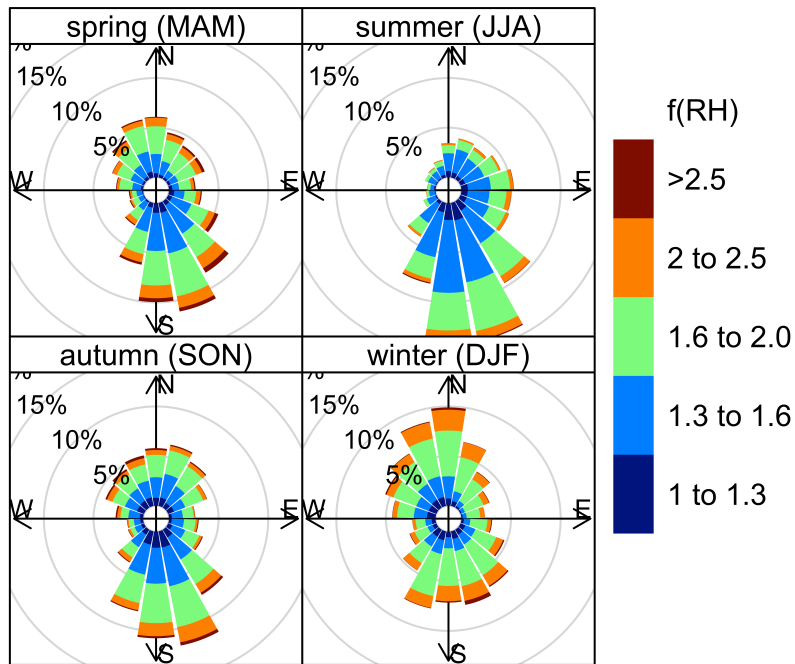


Figure 2. Seasonal wind rose plots depicting seasonal  $fRH$  values with wind direction

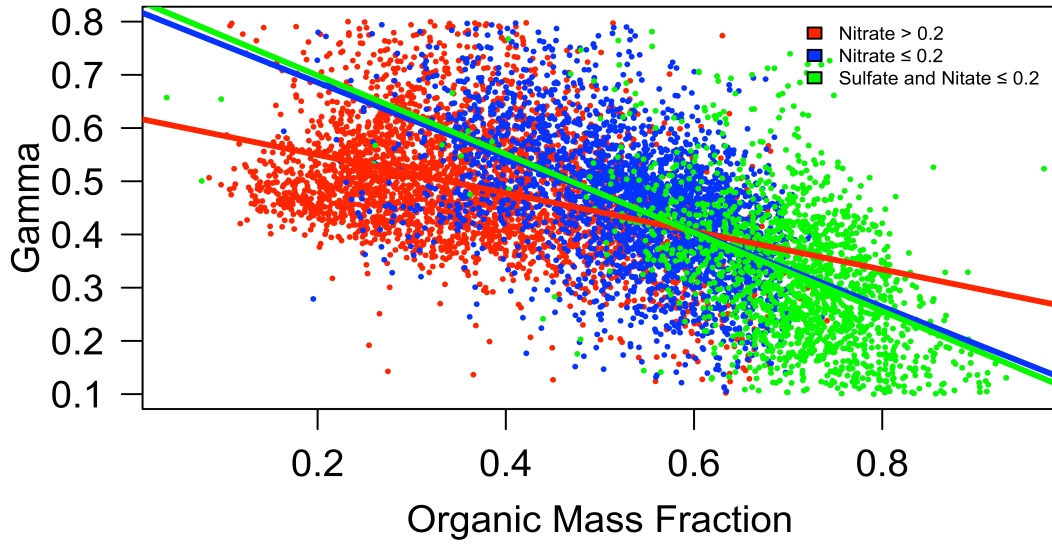


Figure 3. Variation of the gamma fit parameter with aerosol organic mass fraction and colored by nitrate and sulfate mass fraction amounts. Red and blue fit lines correspond to data with similar color. The green fit line is for the entire data set.

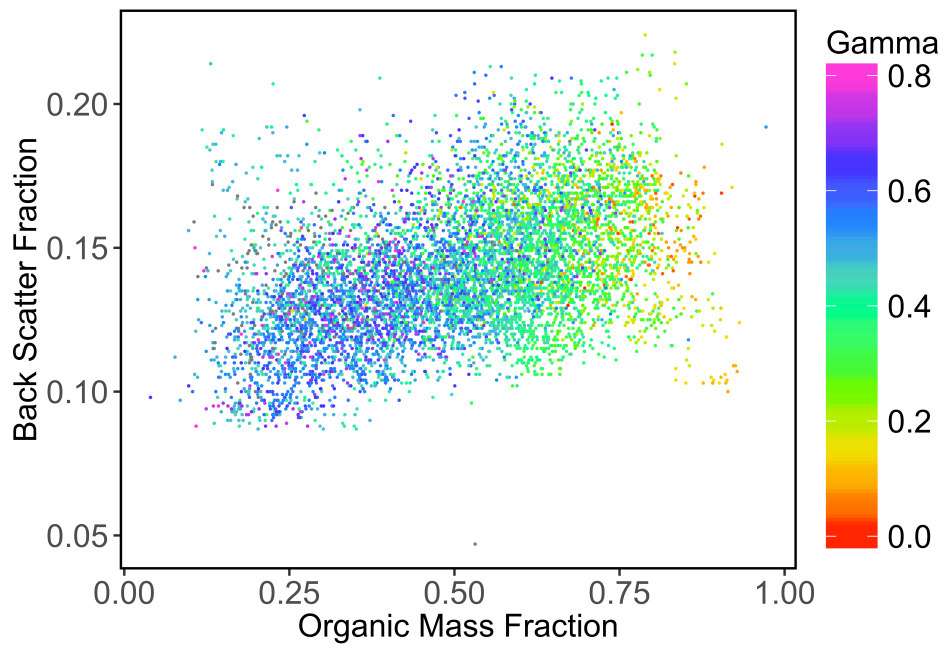


Figure 4. Sub 1 $\mu$ m aerosol backscattering fraction at 550 nm vs organic mass fraction from ACSM.

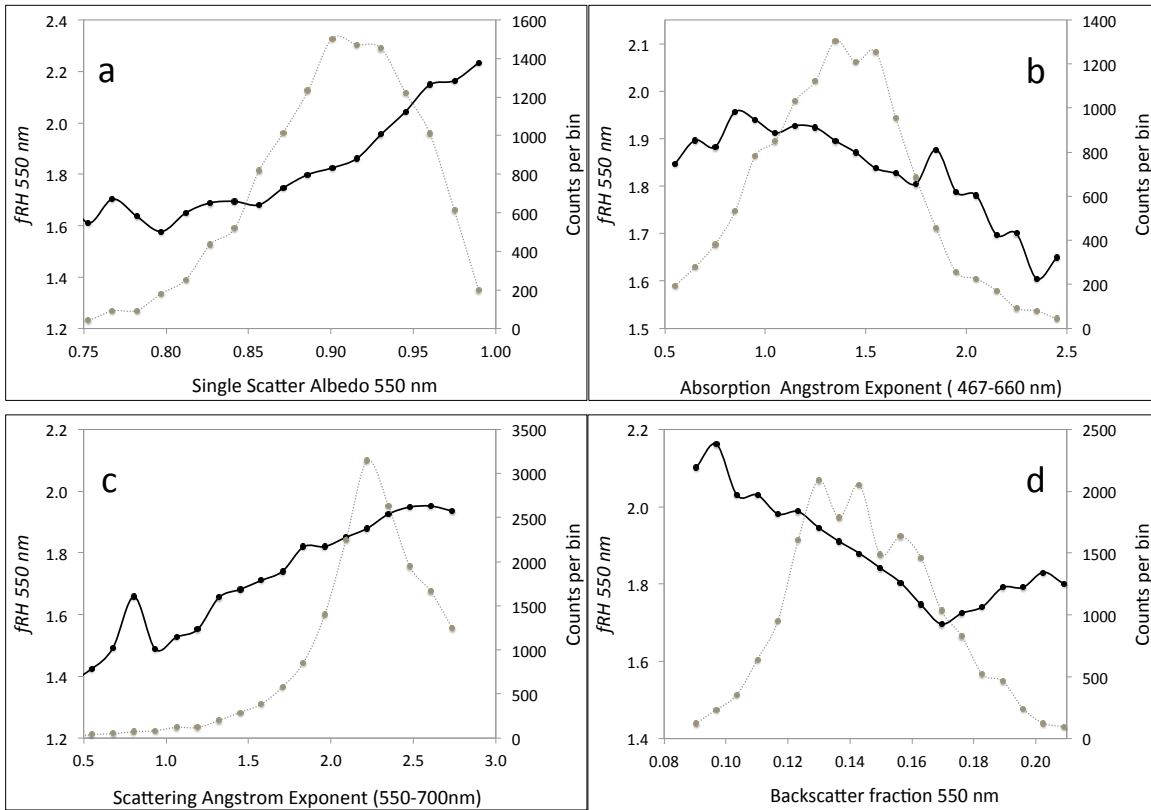


Figure 5. Plots of binned  $fRH$  versus dry, intensive, aerosol optical properties (solid line) and the probability distributions of the intensive properties (gray line). Intensive properties are (a) single scatter albedo at 550 nm, (b) absorption Ångstrom exponent for the 467:530 nm wavelength pairs, (c) scattering Ångstrom exponent for the 450:700 nm wavelength pairs, and (d) the backscatter fraction at 550 nm.



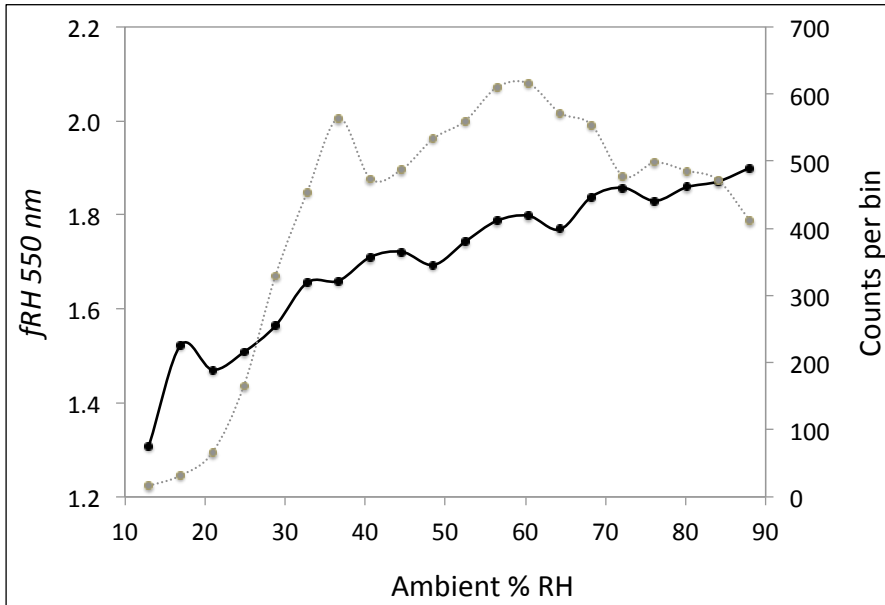


Figure 6.  $fRH(85\%/40\%)$  for sub 10  $\mu\text{m}$  data binned by the ambient RH (solid line) and the binned probability distribution of the ambient RH (dashed line).

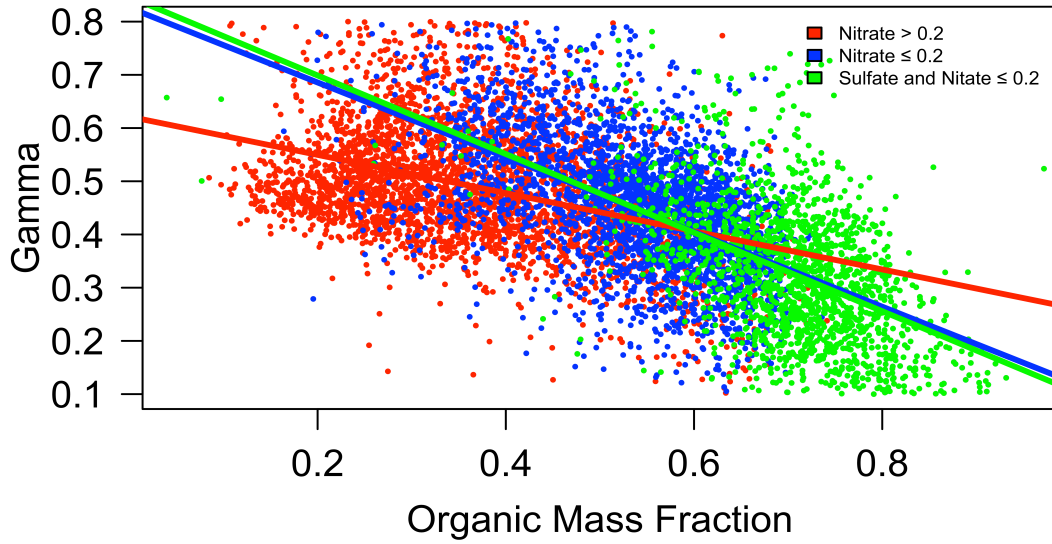


Figure 3. Variation of the gamma fit parameter with aerosol organic mass fraction and colored by nitrate and sulfate mass fraction amounts. Red and blue fit lines correspond to data with similar color. The green fit line is for the entire data set.



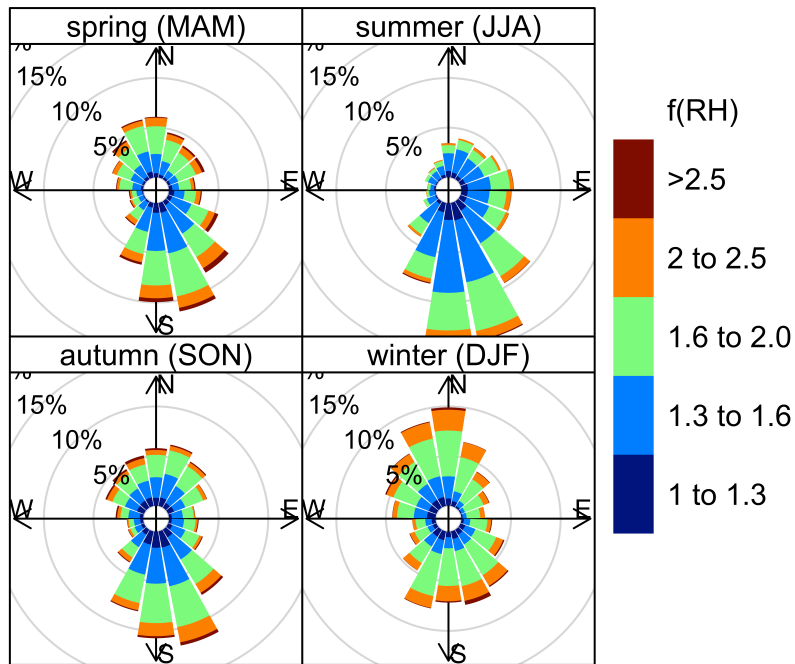


Figure 2. Seasonal wind rose plots depicting seasonal  $fRH$  values with wind direction



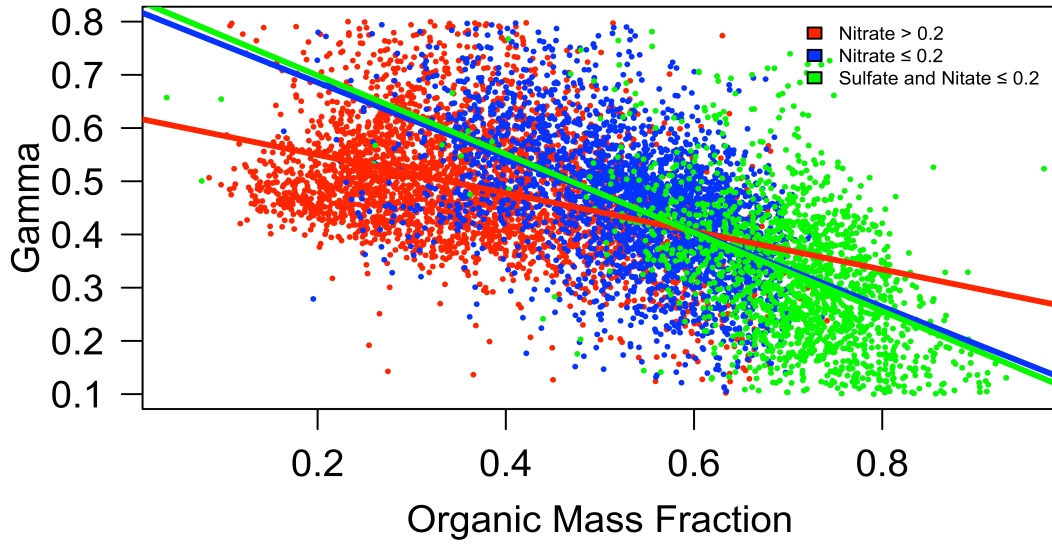


Figure 3. Variation of the gamma fit parameter with aerosol organic mass fraction and colored by nitrate and sulfate mass fraction amounts. Red and blue fit lines correspond to data with similar color. The green fit line is for the entire data set.



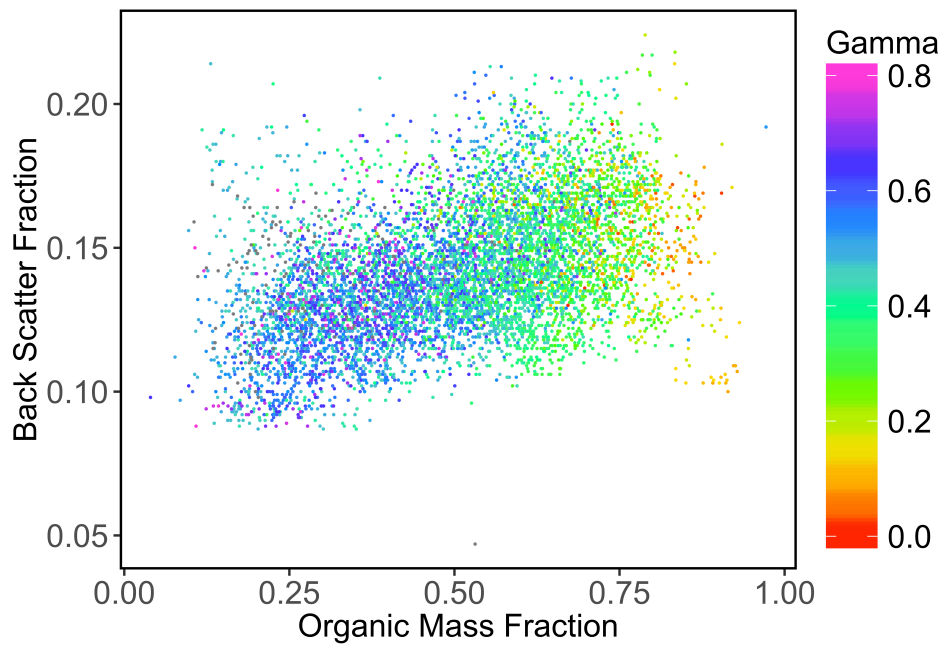


Figure 4. Sub 1 $\mu$ m aerosol backscattering fraction at 550 nm vs organic mass fraction from ACSM.





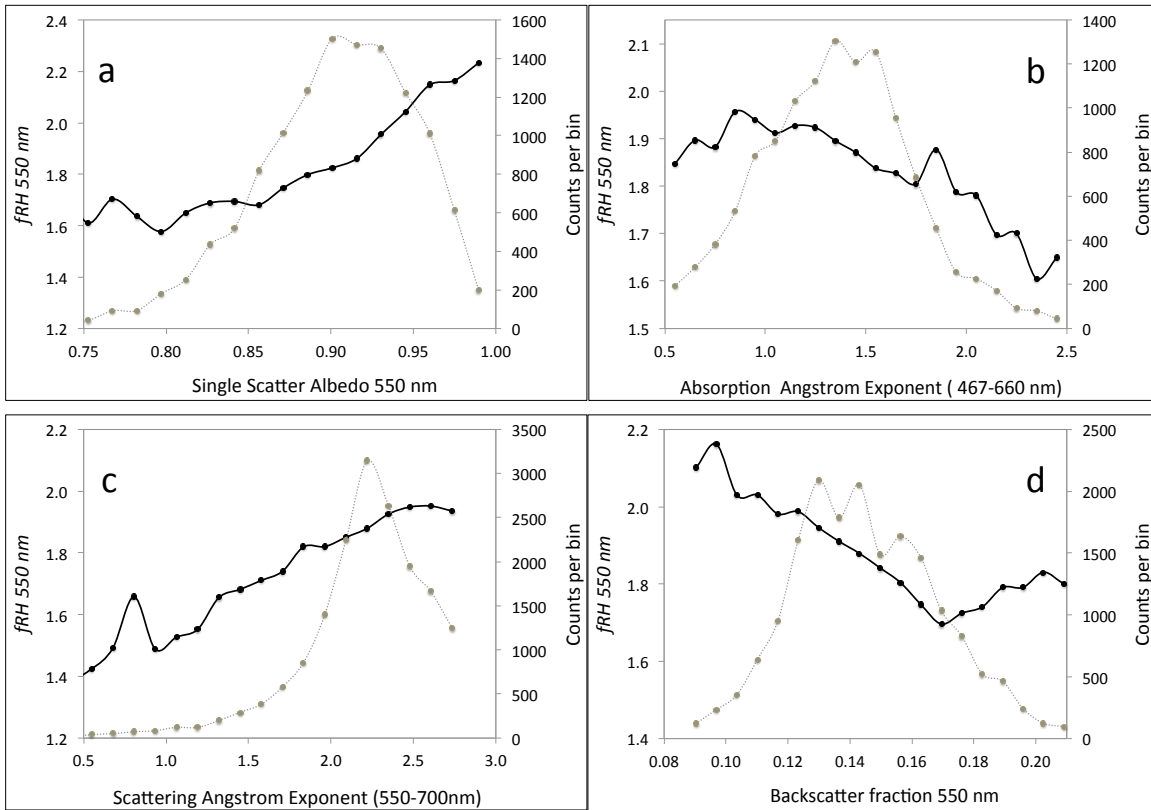


Figure 5. Plots of binned  $fRH$  versus dry, intensive, aerosol optical properties (solid line) and the probability distributions of the intensive properties (gray line). Intensive properties are (a) single scatter albedo at 550 nm, (b) absorption Ångstrom exponent for the 467:530 nm wavelength pairs, (c) scattering Ångstrom exponent for the 450:700 nm wavelength pairs, and (d) the backscatter fraction at 550 nm.



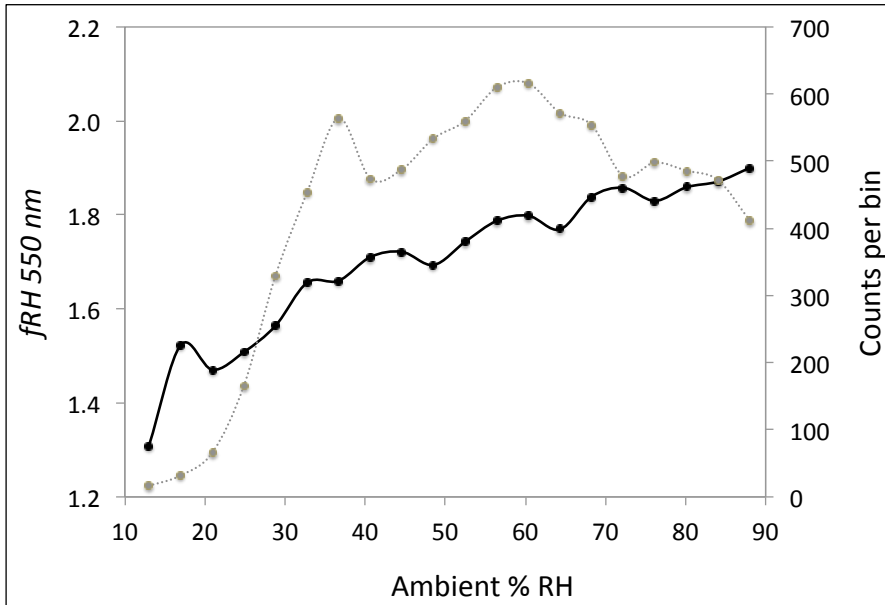


Figure 6.  $fRH(85\%/40\%)$  for sub 10  $\mu m$  data binned by the ambient RH (solid line) and the binned probability distribution of the ambient RH (dashed line).



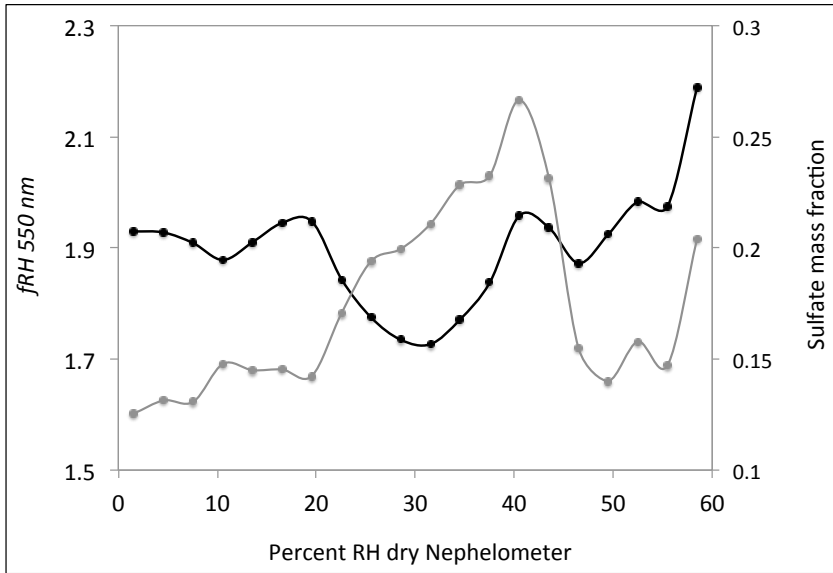


Figure 7.  $fRH$  (solid line) and the SMF (gray line) binned by the dry nephelometer RH.



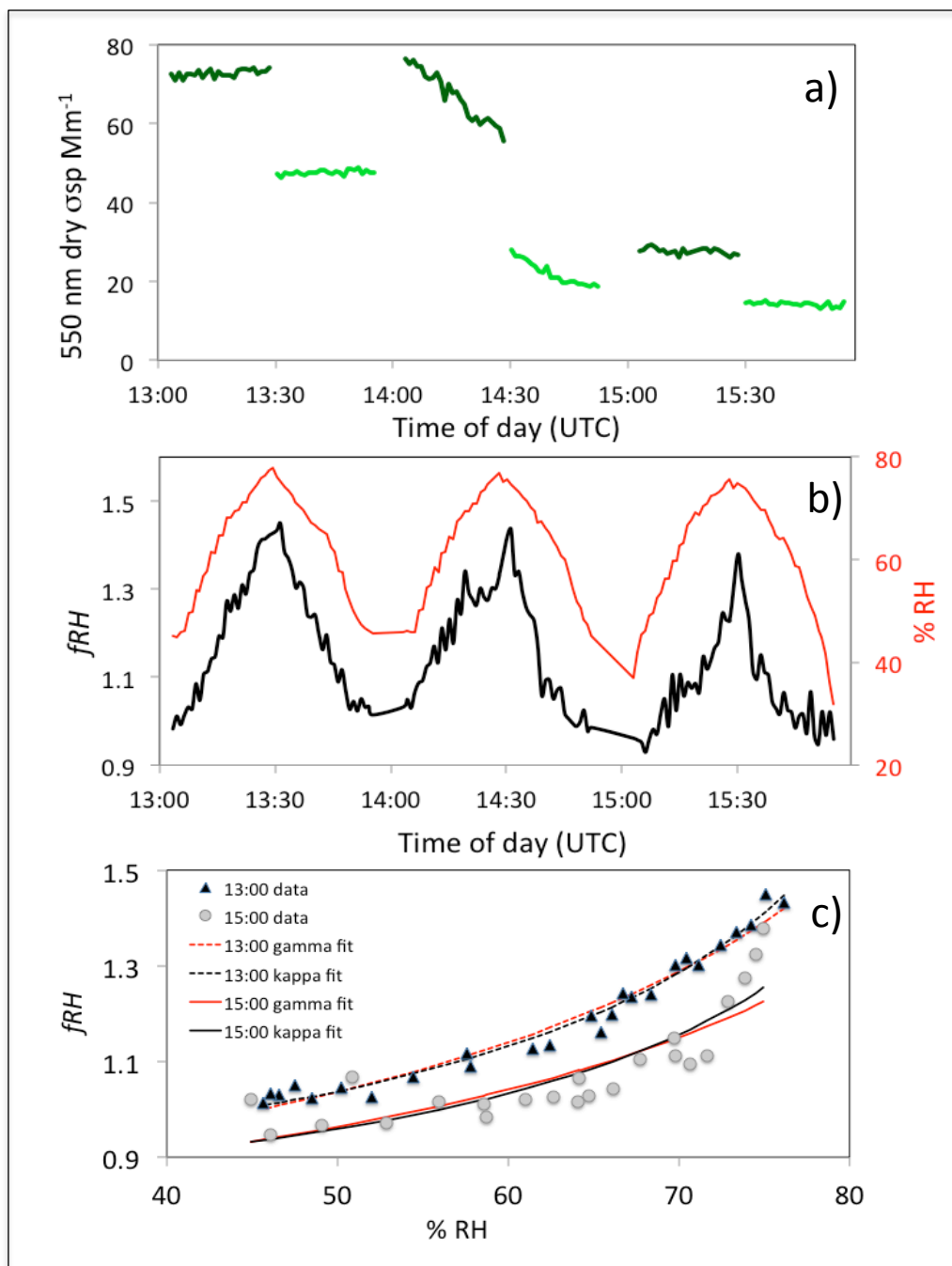


Figure 8. Plots of aerosol scattering data from April 10, 2011 at SGP showing: a) The sub 10  $\mu m$  (dark green) and sub  $\mu m$  (light green) scattering coefficients at 550 nm., b) ratio of the wet/dry scattering coefficients (black) and humidifier % RH (red), and c) plots of the  $f_{RH}$  data fit to the gamma and kappa fit algorithms at 13:00 (black triangles) and at 15:00 (gray circles).





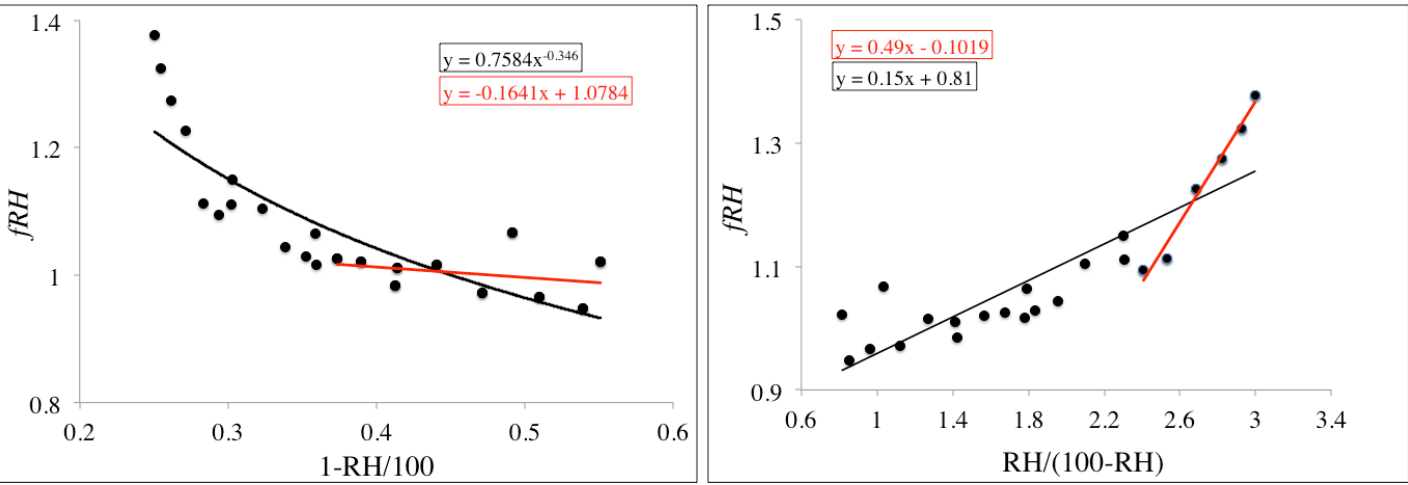


Figure 9. Plots of Gamma (left) and Kappa (right) hygroscopic growth fits. Red lines are linear fits of the data over a limited RH range. Data from sub *um* aerosol scattering coefficients at 550nm on April 10, 2011 at SGP. Fit equation boxes are colored the same as the corresponding fit line.